

Meghan E. Stoppel, #23685 Assistant Attorney General Office of the Kansas Attorney General 120 SW 10th Avenue, 2nd Floor Topeka, Kansas 66612 (785) 296-3751

2012 MAY -7 P 3:59

IN THE DISTRICT COURT OF Division	S SHAWNEE COUNTY, KANSAS
STATE OF KANSAS, ex rel.)
DEREK SCHMIDT, Attorney General,)
Plaintiff,)
v.) Case No. 120498
ABBOTT LABORATORIES)
Defendant.)
(Pursuant to K.S.A. Chapter 60)	<i>)</i>

JOURNAL ENTRY OF CONSENT JUDGMENT

NOW on this 77 day of ________, 2012, Plaintiff's Journal Entry of Consent Judgment comes before the Court pursuant to K.S.A. 50-632(b). The Plaintiff, State of Kansas, ex rel. Derek Schmidt, Attorney General, appears by and through Meghan E. Stoppel, Assistant Attorney General. Defendant Abbott Laboratories appears by and through the undersigned counsel.

WHEREUPON the parties advise the Court that they have stipulated and agreed to the following:

PARTIES, JURISDICTION AND VENUE

1. Derek Schmidt is the duly elected, qualified and acting Attorney General for the State of Kansas.

- 2. The Attorney General's authority to bring this action is derived from the statutory and common law of the State of Kansas, specifically the Kansas Consumer Protection Act, K.S.A. 50-623, et seq.
- 3. Defendant Abbott Laboratories is an Illinois corporation that conducts business in the state of Kansas.
- 4. Venue is proper under K.S.A. 50-638 in the Third Judicial District of Kansas (Shawnee County).
- 5. This Court has jurisdiction over this matter, pursuant to the Kansas Consumer Protection Act, K.S.A. 50-623 et seq.

1. PREAMBLE

- 1.1 The Attorneys General of the States of Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Florida, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, District of Columbia, West Virginia, and Wisconsin (collectively, the "Attorneys General," or "AGs"), conducted an investigation under the State Consumer Protection Laws¹ regarding certain Abbott Laboratories ("Abbott") Promotional practices related to Depakote.
- 1.2 Abbott (as defined in the Definitions Section) is willing to enter into a Consent Judgment ("Judgment") regarding its Promotional practices and dissemination of information to Health Care Professionals regarding Depakote in the United States ("Covered Conduct") in order

¹ This Consent Judgment filed in the state of Kansas is governed by the Kansas Consumer Protection Act, K.S.A. 50-623 *et seq*.

to resolve the AGs' investigation under the State Consumer Protection Laws and arrive at a complete and total settlement and resolution of any disagreement as to the matters addressed in this Judgment and thereby avoid unnecessary expense, inconvenience, and uncertainty.

1.3 The Parties have agreed to resolve the issues raised by the Covered Conduct by entering into this Judgment. Abbott is entering into this Judgment solely for the purpose of settlement and nothing contained herein may be taken as or construed to be an admission or concession of any violation of law or regulation, or of any other matter of fact or law, or of any liability or wrongdoing, all of which Abbott and Pharmaceutical Company (as defined below) expressly deny. Abbott and Pharmaceutical Company do not admit any violation of the State Consumer Protection Laws, and do not admit any wrongdoing that was or could have been alleged by any Attorney General before the date of the Judgment under those laws. Except in an action brought by an Attorney General to enforce this Judgment, this Judgment shall not be construed or used as a waiver or limitation of any defense otherwise available to Abbott and/or Pharmaceutical Company, including, but not limited to Abbott's and Pharmaceutical Company's right to defend themselves from, or make any arguments in, any other matter, including, but not limited to, any investigation or litigation relating to the existence, subject matter or terms of this Judgment. This Judgment is made without trial or adjudication of any issue of fact or law or finding of wrongdoing or liability of any kind. It is the intent of the Parties that this Judgment shall not be admissible in any other matter, including, but not limited to, any investigation or litigation, or bind Abbott or Pharmaceutical Company in any respect other than in connection with the enforcement of this Judgment. No part of this Judgment shall create a private cause of action or confer any right to any third party for violation of any federal or state statute except that a State may file an action to enforce the terms of this Judgment. All obligations undertaken by

Abbott and Pharmaceutical Company in this Judgment shall apply prospectively; and nothing contained herein prevents or prohibits the use of this Judgment for purposes of enforcement of this Judgment by the AGs.

- 1.4 The AGs have reviewed the terms of the Judgment and find that such terms serve the public interest.
- 1.5 This Judgment (or any portion thereof) shall in no way be construed to prohibit Abbott or Pharmaceutical Company from making representations with respect to Depakote that are permitted under Federal law or in Labeling for the drug under the most current draft or final standard promulgated by the FDA or the most current draft or final FDA Guidance for Industry, or permitted or required under any Investigational New Drug Application, New Drug Application, Supplemental New Drug Application, or Abbreviated New Drug Application approved by FDA, so long as the representation, taken in its entirety, is not false, misleading or deceptive.

2. FINDINGS

IT IS HEREBY ORDERED THAT:

- 2.1 This Court has jurisdiction over the subject matter of this lawsuit and over all Parties. This Court retains jurisdiction of this Judgment and the Parties hereto for the purpose of enforcing and modifying this Judgment and for the purpose of granting such additional relief as may be necessary and appropriate.
 - 2.2 The terms of this Judgment shall be governed by the laws of the State of Kansas.
- 2.3 Entry of this Judgment is in the public interest and reflects a negotiated agreement among the Parties.

3. DEFINITIONS

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

Abbott has publicly announced that it plans to separate into two publicly traded companies, one a diversified medical products company, which may retain the Abbott name, ("Diversified Company") and the other a research-based pharmaceutical company ("Pharmaceutical Company") which will not be a subsidiary or corporate affiliate of Abbott (this separation is hereinafter referred to as the "Transaction" and the "Effective Time" shall be the date and time that the Transaction becomes effective). For the purpose of this Judgment and the provisions herein, the term "Responsible Entity" shall mean the corporate entity that bears the obligations of this Judgment. Abbott shall be the Responsible Entity prior to the Effective Time and Pharmaceutical Company shall be the Responsible Entity after the Effective Time. Abbott also has represented to the States that at the Effective Time of the Transaction, the assets of Abbott's research-based human pharmaceuticals products business will be transferred, conveyed, and/or assigned by Abbott to the Pharmaceutical Company and that the Diversified Company shall no longer be involved in the marketing or promotion of research- based human pharmaceutical products in the United States. After the Effective Time, Pharmaceutical Company will be deemed to be the successor in interest, for purposes of this Judgment, and all of Abbott's obligations herein will become the obligations of Pharmaceutical Company. Neither Abbott nor Diversified Company shall have any further obligations under this Judgment after the Effective Time.

The following definitions shall be used in construing this Judgment:

3.1 "Abbott" shall mean Abbott Laboratories, including all of its past and present subsidiaries, divisions, affiliates, co-promoters, controlled joint ventures, predecessors,

successors and assigns, and each and all of its current and former officers, directors, shareholders, employees, agents and contractors.

- 3.2 "Covered Conduct" shall mean Responsible Entity's Promotional practices and dissemination of information to Health Care Professionals regarding Depakote in the United States.
- 3.3 "Depakote" shall mean all Responsible Entity Products that are FDA approved drug formulations containing valproate or valproic acid and sold under the trade name Depakote, including, but not limited to, Depakote, Depakote ER, Depakote DR, Depakote Sprinkles, Depakene and Depakon, and are approved by the FDA for the treatment of epilepsy, migraine headaches, and acute manic or mixed episodes associated with bipolar disease.
- 3.4 "Effective Date" shall mean the date on which a copy of this Judgment, duly executed by Abbott and by the Signatory Attorney General, is approved by, and becomes a Judgment of the Court.
- 3.5 "FDA Guidance for Industry" shall mean final documents issued by the FDA pursuant to 21 U.S.C. §371(h) that represent the FDA's latest thinking on the topic.
- 3.6 "Health Care Professional" or "HCP" shall mean any U.S. based physician or other health care practitioner who is licensed to provide health care services or to prescribe pharmaceutical products.
- 3.7 "Labeling" shall mean all FDA-approved labels and other written, printed, or graphic matters (a) upon any article or any of its containers or wrappers, or (b) accompanying such article.

- 3.8 "Medical Information Response" shall mean a non-Promotional, scientific communication to address Unsolicited Requests for medical information from HCPs regarding Depakote.
- 3.9 "Multistate Executive Committee" shall mean the Attorneys General and their staffs representing Ohio, Oregon, Illinois, Florida, North Carolina, Pennsylvania, South Carolina, and Texas.
- 3.10 "Multistate Working Group" shall mean the Attorneys General and their staff representing Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Florida, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, District of Columbia, West Virginia, and Wisconsin.
- 3.11 "Off-Label" shall mean a use not consistent with the indications section of the Depakote Labeling approved by the FDA at the time information regarding such use was communicated.
 - 3.12 "Parties" shall mean Responsible Entity and the Signatory Attorney General.
- 3.13 "Promotional," "Promoting," or "Promote" shall mean representations about Depakote and other product-related practices intended to increase sales or that attempt to influence prescribing practices of HCPs.
 - 3.14 "Promotional Materials" shall mean any item that is used to Promote Depakote.
- 3.15 "Promotional Speaker" shall mean a HCP speaker who is engaged as a non-employee of Responsible Entity to Promote Depakote.

- 3.16 "Reprints Containing Off-Label Information" shall mean articles or reprints from a scientific or medical journal, as defined in 21 C.F.R. 99.3(j), or reference publication, as defined in 21 C.F.R. 99.3(i), describing an Off-Label use for Depakote.
- 3.17 "Responsible Entity Marketing" shall mean Responsible Entity personnel with responsibilities for marketing Depakote in the United States.
- 3.18 "Responsible Entity Medical" shall mean Responsible Entity personnel in the Global Pharmaceutical Research & Development organization who are assigned to the United States and who have responsibilities related to Depakote.
- 3.19 "Responsible Entity Sales" shall mean the Responsible Entity sales force responsible for U.S. Depakote sales.
- 3.20 "Scientifically Trained Personnel" shall mean Responsible Entity personnel experts with specialized training, scientific and medical knowledge whose roles involve the provision of specialized medical or scientific information, including Medical Affairs and Clinical Science Managers, but excluding anyone performing sales, marketing or other commercial roles.
- 3.21 "Signatory Attorney General" shall mean the Attorney General of Kansas, or his/her authorized designee, who has agreed to this Judgment.
- 3.22 "State Consumer Protection Laws" shall mean the consumer protection laws cited Footnote 1 under which the Attorneys General have conducted the investigation.
- 3.23 "Unsolicited Request" shall mean a request for Off-Label information regarding Depakote from a HCP communicated to an employee or contract sales agent of Responsible Entity that has not been prompted by Responsible Entity.

4. COMPLIANCE PROVISIONS

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

Promotional Activities

- 4.1 Responsible Entity shall not make, or cause to be made, any written or oral claim that is false, misleading, or deceptive regarding Depakote.
 - 4.2 Responsible Entity shall not Promote Depakote for Off-Label uses.
- 4.3 Responsible Entity shall require that the compensation (including through salaries, bonuses, and contests) of its United States sales representatives be designed to ensure that financial incentives do not motivate such individuals to engage in Off-Label Promotion of Depakote.
- 4.4 For five years from the Effective Date of this Judgment, Responsible Entity shall inform Responsible Entity Sales and Marketing personnel of the results of any company-sponsored clinical trial relating to Depakote completed after the Effective Date.

Dissemination and Exchange of Medical Information

4.5 The content of Responsible Entity's communications concerning Off-Label uses of Depakote shall not be false, misleading or deceptive.

Medical Information Responses

The following subsections shall be effective for five years from the Effective Date of this Judgment.

4.6 Responsible Entity Medical shall have ultimate responsibility for developing and approving the medical content for all Medical Information Responses regarding Depakote, including any that may describe Off-Label information. Responsible Entity shall not distribute any such materials unless:

- A. Clinically Relevant Information is included in these materials to provide scientific balance;
- B. Data in these materials are presented in an unbiased, non-Promotional manner;
- C. These materials are clearly distinguishable from sales aids and other Promotional Materials.
- 4.7 Responsible Entity Sales and Responsible Entity Marketing personnel shall not develop the medical content of Medical Information Responses regarding Depakote. This provision does not prohibit Responsible Entity Sales or Responsible Entity Marketing personnel from suggesting topics for Medical Information Responses.
- 4.8 Responsible Entity Sales and Responsible Entity Marketing personnel shall not distribute Medical Information Responses regarding Depakote.
- 4.9 Responsible Entity shall not knowingly disseminate any Medical Information Response that makes any false or misleading representation regarding Depakote or any false or misleading statement concerning a competing product.

Responses to Unsolicited Requests for Off-Label Information

The following subsections shall be effective for five years from the Effective Date of this Judgment.

- 4.10 In responding to an Unsolicited Request for Off-Label information regarding Depakote, including any request for a specific article related to Off-Label uses, Responsible Entity shall advise the requestor that the request concerns an Off-Label use, and inform the requestor of the drug's FDA-approved indication(s) and/or dosage and other relevant Labeling information.
- 4.11 If Responsible Entity elects to respond to an Unsolicited Request for Off-Label information from a HCP regarding Depakote, Responsible Entity Medical personnel shall be

required to provide accurate, objective, and scientifically balanced responses. Any such response shall not Promote Depakote for any Off-Label use(s).

- 4.12 Any written response to an Unsolicited Request for Off-Label information regarding Depakote shall include:
 - A. Medical Information Response or other document prepared in response to the request in accordance with Paragraphs 4.6, 4.7, 4.8, 4.9, 4.10 and 4.11; or
 - B. A report containing the results of a reasonable literature search using terms from the request.
- 4.13 Responsible Entity Sales and Responsible Entity Marketing personnel may respond in writing to an Unsolicited Request for Off-Label information regarding Depakote from a HCP only by informing the HCP of the presence or absence of published studies concerning the Off-Label topic or by acknowledging whether the topic is an area of research, and by offering to request on behalf of the HCP that a Medical Information Response or other information be sent to the HCP in follow up, provided it complies with Paragraph 4.12 set forth above.

 Responsible Entity Sales and Responsible Entity Marketing personnel shall not characterize, describe, identify, name, or offer any opinions about or summarize any such Off-Label information.
- 4.14 Responsible Entity Sales and Responsible Entity Marketing personnel may respond orally to an Unsolicited Request for Off-Label information regarding Depakote from a HCP only by informing the HCP of the presence or absence of published studies concerning the Off-Label topic or by acknowledging whether the topic is an area of research, and by offering to request on behalf of the HCP that a Medical Information Response or other information be sent to the HCP in follow up. Responsible Entity Sales and Responsible Entity Marketing personnel shall not characterize, describe, identify, name, or offer any opinions about or summarize any

such Off-Label information.

Reprints Containing Off-Label Information

The following subsections shall be effective for five years from the Effective Date of this Judgment.

- 4.15 Responsible Entity Medical and/or Responsible Entity's regulatory function shall be responsible for the identification, selection and approval of Reprints Containing Off-Label Information regarding Depakote.
 - 4.16 Reprints Containing Off-Label Information regarding Depakete shall:
 - A. Be accompanied by the full prescribing information for the product, or a clearly and conspicuously described hyperlink that will provide the reader with such information, and contain a disclosure in a prominent location, which would include the first page or as a cover page where practicable, indicating that the article may discuss Off-Label information; and
 - B. Not be referred to or used in a Promotional manner.
- 4.17 Reprints Containing Off-Label Information regarding Depakete may be disseminated only by Responsible Entity Scientifically Trained Personnel to HCPs. Responsible Entity Non-Scientifically Trained Personnel shall not disseminate these materials to HCPs.

Continuing Medical Education (CME) and Grants

The following subsections shall be effective for five years from the Effective Date of this Judgment.

4.18 Responsible Entity shall disclose, at least annually, on its company website Depakote-related CME grants in amounts of more than \$200. The information posted on the company website shall include: (1) definitions for the types of grants and donations posted; (2) list of recipients in alphabetical order; and (3) payment amount and purpose. Currently Abbott discloses this information at http://www.abbott.com/citizenship/disclosures/financial-

support.htm.

- A. Responsible Entity shall maintain this information on its website once posted for at least two years and shall maintain the information in a readily accessible format for review by the States upon written request for a period of three years.
- 4.19 Responsible Entity's Grant-Making Function shall manage all requests for funding related to CME regarding Depakote. Such approval decisions shall be made by financial and/or other organizations separate from the Responsible Entity Sales and Responsible Entity Marketing organizations.
- 4.20 Responsible Entity shall not use CME grants to Promote Depakote. This provision includes, but is not limited to, the following prohibitions:
 - A. Responsible Entity Sales and Responsible Entity Marketing personnel shall not initiate, coordinate or implement grant applications on behalf of any customer or HCP regarding Depakote;
 - B. Responsible Entity Sales and Responsible Entity Marketing personnel shall not be involved in selecting grantees or CME-funded speakers regarding Depakote; and
 - C. Responsible Entity Sales and Responsible Entity Marketing personnel shall not measure or attempt to track in any way the impact of grants or speaking fees on the participating HCPs' subsequent prescribing habits, practices or patterns regarding Depakote.
- 4.21 Responsible Entity shall not condition funding of a CME program grant request regarding Depakote upon the requestor's selection or rejection of particular speakers.
- 4.22 Responsible Entity shall not control, or attempt to influence selection of the specific topic, title, content, speakers or audience for CMEs regarding Depakote, consistent with ACCME guidelines.
- 4.23 Responsible Entity Sales and Responsible Entity Marketing personnel shall not approve CME grant requests regarding Depakote, nor attempt to influence the Responsible

Entity's grant-making function to reward any customers or HCPs with grants for their prescribing habits, practices or patterns regarding Depakote.

- 4.24 Responsible Entity shall contractually require providers of Depakote-related CME programs to disclose to CME program attendees Responsible Entity's financial support of the CME program and any significant financial or other relationship with faculty and speakers at such CME.
- 4.25 After the initial delivery of a CME program, Responsible Entity shall not distribute, arrange, or provide HCPs access to any accredited presentations containing Off-Label Information regarding Depakote. If Responsible Entity's grant-making function or Responsible Entity Medical learns that a CME's program's content has more than an incidental reference to Off-Label Information regarding Depakote, it will not fund the CME program in the future.

Clinical Research

The following subsections shall be effective for five years from the Effective Date of this Judgment.

- 4.26 Responsible Entity shall report research regarding Depakote in an accurate, objective and balanced manner as follows and as required by applicable law:
 - A. To the extent permitted by the National Library of Medicine and as required by the FDA Amendments Act of 2007 (Public Law No. 110-85), Responsible Entity shall register clinical trials and submit clinical trial results to the registry and results data bank regarding Depakote as required by the FDA Amendments Act and any accompanying regulations that may be promulgated pursuant to that Act. With respect to Depakote, Abbott registers on a publicly accessible NIH website (www.clinicaltrials.gov) the initiation of all applicable Abbott-sponsored clinical trials involving individuals beginning after the Effective Date and posts a summary of the results of all applicable Abbott-sponsored clinical trials in patients or volunteers that were completed after the Effective Date.
 - 4.27 When presenting information about a clinical study regarding Depakote in any

Promotional Materials, Responsible Entity shall not do any of the following:

- A. Present favorable information or conclusions from a study that is inadequate in design, scope, or conduct to furnish significant support for such information or conclusions;
- B. Use the concept of statistical significance to support a claim that has not been demonstrated to have clinical significance or validity, or fails to reveal the range of variations around the quoted average results;
- C. Use statistical analyses and techniques on a retrospective basis to discover and cite findings not soundly supported by the study, or to suggest scientific validity and rigor for data from studies the design or protocol of which are not amenable to formal statistical evaluations;
- D. Present the information in a way that implies that the study represents larger or more general experience with the drug than it actually does; or
- E. Use statistics on numbers of patients or counts of favorable results or side effects, derived from pooling data from various insignificant or dissimilar studies in a way that suggests either that such statistics are valid if they are not or that they are derived from large or significant studies supporting favorable conclusions when such is not the case.
- 4.28 When submitting information about a clinical study regarding Depakote for publication, Responsible Entity shall:
 - A. Require that a person can be considered an "author" only if he or she has made substantial contributions to the conception and design of the study, acquisition or analysis of data and has final approval of the version to be published, unless otherwise required by a journal or congress, in which case the journal or congress criteria for authorship will be followed; and
 - B. Acknowledge Responsible Entity's role as the funding source of all Responsible Entity-initiated research and clinical trials in all related scientific publications.

5. TERMS RELATING TO REPAYMENT

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

5.1 No later than 30 days after the Effective Date of this Judgment, Abbott shall pay a total amount of \$100 million to be divided and paid by Abbott directly to each Signatory

Attorney General of the Multistate Working Group in an amount to be designated by and in the sole discretion of the Multistate Executive Committee. Said payment shall be used by the States as and for attorneys' fees and other costs of investigation and litigation, or be placed in, or applied to, a consumer protection enforcement fund, including future consumer protection enforcement, consumer education, litigation or local consumer aid fund or revolving fund, or used to defray the costs of the inquiry leading hereto, and may be used to fund or assist in funding programs directed at conditions for which Depakote is used to treat, including but not limited to education and outreach or for other uses permitted by state law, at the sole discretion of each Signatory Attorney General.

6. RELEASE

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

discharges, to the fullest extent permitted by law, Abbott and all of its past and present subsidiaries, divisions, affiliates, co-promoters, controlled joint ventures, predecessors, successors and assigns, including Pharmaceutical Company and Diversified Company, and each and all of their current and former officers, directors, shareholders, employees, agents and contractors, (collectively, the "Released Parties") from the following: all civil claims, causes of action, damages, restitution, fines, costs, attorneys fees, and penalties that the Kansas Attorney General could have asserted against the Released Parties under the above-cited consumer protection statutes, successor statutes, or common law claims concerning unfair, deceptive or fraudulent trade practices impacting consumers or state statutes equivalent to the federal Food, Drug and Cosmetic Act that the Office of the Attorney General has the authority to release resulting from the Covered Conduct up to and including the Effective Date that is the subject of

this Judgment.

- 6.2 Notwithstanding any term of this Judgment, specifically reserved and excluded from the Release in Paragraph 6.1 as to any entity or person, including Released Parties, are any and all of the following:
 - A. Any criminal liability that any person and/or entity, including Released Parties, has or may have to the State of Kansas;
 - B. Any civil or administrative liability that any person and/or entity, including Released Parties, has or may have to the State of Kansas not expressly covered by the release in Paragraph 6.1 above, including but not limited to any and all of the following claims:
 - i) State or federal antitrust violations;
 - ii) Reporting practices, including "best price," "average wholesale price" or "wholesale acquisition cost;"
 - iii) Medicaid violations, including federal Medicaid drug rebate statute violations, Medicaid fraud or abuse, and/or kickback violations related to any State's Medicaid program;
 - iv) State false claims violations;
 - v) Any liability under the State of Kansas's above-cited consumer protection laws which any person and/or entity, including Released Parties, has or may have to individual consumers of said State; and
 - vi) Any liability under the State of Kansas's above-cited consumer protection laws or other actions of state program payors, which any person and/or entity, including Released Parties, has or may have to State program payors of said State.

7. DISPUTE RESOLUTION

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

7.1 For the purposes of resolving disputes with respect to compliance with this

Judgment, should any of the Signatory Attorneys General have a reasonable basis to believe that

Responsible Entity has engaged in a practice that violates a provision of this Judgment

subsequent to the Effective Date of this Judgment, then such Attorney General shall notify Responsible Entity in writing of the specific objection, identify with particularity the provisions of this Judgment that the practice appears to violate, and give Responsible Entity thirty (30) days to respond to the notification; provided, however, that a Signatory Attorney General may take any action if the Signatory Attorney General concludes that, because of the specific practice, a threat to the health or safety of the public requires immediate action. Upon receipt of written notice, Responsible Entity shall provide a good-faith written response to the Attorney General notification, containing either a statement explaining why Responsible Entity believes it is in compliance with the Judgment, or a detailed explanation of how the alleged violation occurred and a statement explaining how Responsible Entity intends to remedy the alleged breach.

Nothing in this paragraph shall be interpreted to limit the state's Civil Investigative Demand ("CID") or investigative subpoena authority, to the extent such authority exists under applicable state law, and Responsible Entity reserves all of its rights with respect to a CID or investigative subpoena issued pursuant to such authority.

- 7.2 Upon giving Responsible Entity thirty (30) days to respond to the notification described above, the Signatory Attorney General shall also be permitted reasonable access to inspect and copy relevant, non-privileged, non-work product records and documents in the possession, custody or control of Responsible Entity that relate to Responsible Entity's compliance with each provision of this Judgment as to which cause that is legally sufficient in the State has been shown.
- 7.3 The State may assert any claim that Responsible Entity has violated this Judgment in a separate civil action to enforce compliance with this Judgment, or may seek any other relief afforded by law, but only after providing Responsible Entity an opportunity to respond to the

notification described in Paragraph 7.2 above; provided, however, that a Signatory Attorney General may take any action if the Signatory Attorney General concludes that, because of the specific practice, a threat to the health or safety of the public requires immediate action.

8. GENERAL PROVISIONS

IT IS FURTHER ORDERED, ADJUDGED AND DECREED THAT:

- 8.1 This Judgment represents the full and complete terms of the settlement entered into by the Parties hereto. In any action undertaken by the Parties, no prior versions of this Judgment, and no prior versions of any of its terms that were not entered by the Court in this Judgment, may be introduced for any purpose whatsoever.
- 8.2 This Judgment may be executed in counterparts, and a facsimile or PDF signature shall be deemed to be, and shall have the same force and effect as, an original signature.
- 8.3 All Notices under this Judgment shall be provided to the following via Overnight Mail:

General Counsel Abbott Laboratories 100 Abbott Park Road Abbott Park, IL 60064-3500

And

Meghan E. Stoppel Assistant Attorney General Office of the Kansas Attorney General 120 SW 10th Ave., 2nd Floor Topeka, Kansas 66612-1597

Phone: (785) 296-3751

8.4 To the extent that any provision of this Judgment obligates Responsible Entity to change any policy(ies) or procedure(s) and to the extent not already accomplished, Responsible Entity shall implement the policy(ies) or procedure(s) as soon as reasonably practicable, but no

later than 120 days after the Effective Date of this Judgment.

- 8.5 If any portion, provision, or part of this Consent Judgment is held to be invalid, unenforceable, or void for any reason whatsoever, that portion shall be severed from the remainder and shall not affect the validity or enforceability of the remaining provisions, portions or parts.
- 8.6 Compliance with this Consent Judgment does not relieve the Defendant of any obligation imposed by applicable federal, state, or local law, nor shall the Attorney General be precluded from taking appropriate legal action to enforce civil or criminal statutes under his jurisdiction.
- 8.7 The parties understand that this Consent Judgment shall not be construed as an approval of or sanction by the Attorney General of the business practices of the Defendant nor shall the Defendant represent the decree as such an approval. The parties further understand that any failure by the State of Kansas or by the Attorney General to take any action in response to any information submitted pursuant to the Consent Judgment shall not be construed as an approval of or sanction of any representations, acts or practices indicated by such information, nor shall it preclude action thereon at a later date.
- 8.8 Each of the Defendant's representatives, signing this Journal Entry of Consent Judgment, warrants that the representative has been duly authorized by the Defendant for whom the representative appears to be acting to enter and execute this Journal Entry of Consent Judgment on behalf of the Defendant. Defendant further represents that it has had an opportunity to consult with and obtain the advice of private legal counsel prior to entering into this Consent Judgment.

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED that the stipulations

and agreements of the Parties contained herein are adopted and approved as the findings of fact and conclusions of law of the Court and any monies owed hereunder by Defendant Abbott Laboratories immediately become a judgment upon filing.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that pursuant to the Kansas Consumer Protection Act and the provisions of K.S.A. 50-632(b), the Court hereby approves the terms of this Consent Judgment and adopts the same as the Order of the Court.

This the 2M day of 2M, 2012.

JUDGE

JOINTLY APPROVED AND AGREED TO:

FOR PLAINTIFF:

Derek Schmidt, KS #17781

Attorney General

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Phone: (785) 296-2215

Meghan E. Stoppel, KS #23685

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FOR DEFENDANT:

ABBOTT LABORATORIES

Divisional Vice President and Associate General Counsel

Abbott Laboratories

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FOR DEFENDANT:

ABBOTT LABORATORIES

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Date: 5.3.12