

2016



KANSAS ATTORNEY GENERAL

Derek Schmidt

**Medicaid Fraud & Abuse Division
Kansas Fiscal Year 2016
Annual Report**

July 1, 2015 – June 30, 2016

www.ag.ks.gov

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Attorney General Derek Schmidt has made protecting Kansans from fraud a priority since taking office January 10, 2011. Attorney General Schmidt has demonstrated his willingness to devote the necessary resources and time to aggressively investigate and prosecute those who commit fraud against the Medicaid program, as well as those who abuse and exploit the elderly.

The Medicaid Fraud and Abuse Division of the Kansas Attorney General's Office (the Unit) is the Medicaid Fraud Control Unit (MFCU) for the State of Kansas pursuant to Kansas Statutes Annotated 75-725. This annual report covers the reporting period of July 1, 2015, through June 30, 2016, and provides the information required by 42 C.F.R. 1007.17.



Purpose of the Medicaid Fraud Control Unit

The Kansas MFCU is the agency of state government established to deter and combat fraud, waste and abuse committed against the Kansas Medicaid program. This is accomplished by investigating and taking appropriate criminal or civil action against Medicaid providers defrauding the Kansas Medicaid program. The MFCU also investigates and prosecutes, or refers for prosecution, crimes of abuse, neglect or exploitation committed against patients being cared for in residential care facilities receiving federal healthcare funds.

In completing these tasks, the MFCU maintains a staff of qualified attorneys, investigators and auditors (analysts), all knowledgeable about the provision of medical assistance and the operation of health care providers. The United States Department of Health and Human Services, Office of Inspector General provides funding and partners with the MFCU.

Mission Statement of the Unit

Improving health care services and public safety to Kansans by identifying and preventing fraud, waste and abuse committed by health care providers against the Kansas Medicaid program and health care recipients.

History of the Unit/Authority to Prosecute

The Unit is a division of the Kansas Attorney General's Office, established in 1995, operating under the statutory authority granted at Kansas Statutes Annotated 75-725 and 21-5927, et seq. The Unit received its initial certification in 1995 and has been granted recertification each year thereafter.

The Unit receives specific authority to investigate and litigate from K.S.A. 75-725, which provides:

- “(a) There is hereby created within the office of the attorney general a Medicaid fraud and abuse division.
- (b) The medicaid fraud and abuse division shall be the same entity to which all cases of suspected medicaid fraud shall be referred by the department of social and rehabilitation services, or its fiscal agent, for the purpose of investigation, criminal prosecution or referral to the district or county attorney for criminal prosecution.
- (c) In carrying out these responsibilities, the attorney general shall have:
- (1) All the powers necessary to comply with the federal laws and regulations relative to the operation of the medicaid fraud and abuse division;
 - (2) the power to investigate and criminally prosecute violations of K.S.A. 2013 Supp. 21-5926 through 21-5934, 75-725 and 75-726, and amendments thereto;
 - (3) the power to cross-designate assistant United States attorneys as assistant attorneys general;
 - (4) the power to issue, serve or cause to be issued or served subpoenas or other process in aid of investigations and prosecutions;
 - (5) the power to administer oaths and take sworn statements under penalty of perjury;
 - (6) the power to serve and execute in any county, search warrants which relate to investigations authorized by K.S.A. 2013 Supp. 21-5926 through 21-5934, 75-725 and 75-726, and amendments thereto; and
 - (7) the powers of a district or county attorney.”

Compliance with Federal Performance Standards

The Unit is required to comply with specific performance standards outlined by the federal government. This Annual Report, along with the responses to the Recertification Questionnaire, will demonstrate that the Unit is in compliance with each of the Federal Performance Standards.

Funding

The Unit is funded 75% by the federal grant and 25% by State of Kansas matching funds. The total budget approved for federal fiscal year 2016 (FFY2016) is \$1,457,808.00, which includes indirect costs.

A copy of the FFY2016 Notice of Award for the Unit is included as Appendix A.

Staffing/Qualifications

The Unit is currently staffed with a Deputy Attorney General, who serves as the Director of the Unit; an Assistant Attorney General, who serves as the Deputy Director; one other Assistant Attorney General; four Analysts/Auditors; a Special Agent in Charge; four Special Agents; and an Administrative Assistant.

Director/Deputy Attorney General

The Director of the Unit is a Deputy Attorney General. He has worked for the Kansas Attorney General's Office for five years and is a former Assistant United States Attorney and United States Attorney from 1978 through May 2001. The Director has applied for status as a Special Assistant United States Attorney.

Deputy Director/Assistant Attorney General

The Deputy Director is cross designated as a Special Assistant United States Attorney and has worked in the office of the Attorney General and in MFCU for eight years, and supervises the attorneys and analysts. She has successfully prosecuted numerous complaints for this office.

Assistant Attorney General

Currently, there is one other Assistant Attorney General. She is a former Assistant District Attorney and as an Assistant Attorney General was assigned to represent a state regulatory board for seven years.

Special Agent in Charge

The Special Agent in Charge came to the MFCU after being with the U.S. Department of Labor. He previously held positions with the U.S. Department of Education, Office of the Inspector General, and was a member of the U.S. Air Force.

Special Agents

The unit currently has four Special Agents, supervised by the Special Agent in Charge. All four agents are certified Law Enforcement Officers through Kansas Commission on Peace Officers' Standards and Training. Each agent has prior law enforcement experience through employment with local Sheriffs' Offices or Police Departments in the State of Kansas. As a result of their experience, our agents are equipped with interviewing, report-writing, and courtroom testimony techniques which are all used regularly for their investigations.

Certain investigations require our Agents to work in partnership with local law enforcement agencies as well as the U.S. Department of Health and Human Services, Office of the Inspector General, and all other federal law enforcement agencies. In addition to investigations, our agents also hold responsibilities in the Unit, including Range Master, Evidence Custodian, Weapon Armorer and Terminal Agency Coordinator for the Kansas Criminal Justice Information System.

Analysts/Auditors

The audit team consists of four members with varied backgrounds and levels of experience. One is a licensed attorney with a technical background who primarily handles statistical analysis in multi-state matters in which the State participates. Another serves as the Surveillance and Utilization Review (SURS) manager with the Kansas Department of Health and Environment prior to joining the Unit. The third has an auditing background and additional technical experience. The fourth member came to us from the Office of the Inspector General for the Kansas Department of Health and Environment

Administrative Assistant

Finally, the Unit has one support staff, an administrative assistant. She serves as the Unit's office manager, responsible for the daily functions of the Unit. She also serves as the Unit's records retention officer, ensuring the maintenance of all records housed within the Unit. In addition, her paralegal background allows her to assist the attorneys during the prosecution process. When needed, she also provides assistance to the investigative staff.

An organizational chart of the Unit is included as Appendix B, as is an organizational chart of the Kansas Attorney General's Office.

Training

The Unit has committed itself to providing each staff member with the opportunity to experience a variety of training targeted at educating them on the skills and techniques needed to understand and perform the duties related to their respective positions. It remains the focus of the Unit to seek out and provide training opportunities to Unit staff that will serve them in their various capacities as employees of the Unit.

A chart detailing all training received by the staff of the Unit is included as Appendix C.

Partnerships and Other Collaborations

The Unit has long recognized the importance of working with other agencies in the pursuit of healthcare fraud, as well as in matters of abuse, neglect and exploitation. Throughout this reporting period the Unit has continued to partner with groups that focus on prevention of healthcare fraud, as well as prevention of abuse of patients and the elderly. These partnerships have allowed Unit staff to network with experts in the health care field.

Kansas Medicaid Program – Division of Health Care Finance (DHCF)

The Unit continues to maintain a good working relationship with the Single State Agency to ensure that suspected cases of provider fraud are referred to the Unit, that provider records and data necessary to investigations are available, that assistance in recovery of overpayments is given, and that payment of claims to providers in cases of credible allegations of fraud are suspended. To support this relationship the Unit has a Memorandum of Understanding with the Single State Agency outlining the responsibilities of the Single State Agency and its contractors, as well as the Unit. The Single State Agency has been extremely accommodating and remains very willing to work with and listen to Unit staff as we continue to adjust to the conversion from fee-for-service to managed care. This relationship continues to see marked improvement, with all parties expressing a continued interest in improving the overall process of protecting the integrity of the Kansas Medicaid program.

The Unit conducts monthly program integrity meetings, which are regularly attended by the program integrity staff of the Single State Agency, staff from the fiscal agent of the Single State Agency, staff from the Kansas Department for Aging and Disability Services (KDADS), which oversees the waiver programs, and program integrity staff of the three Managed Care Organizations (MCOs) that have contracted with the Single State Agency.

Kansas Department of Health and Environment, Office of Inspector General

The position of Inspector General (IG) is still vacant. Prior to the vacancy the Director met regularly with the IG and the IG participated in the monthly program integrity meetings held by the Unit. During the past year the only remaining staff of the IG's office joined the Unit's staff as an analyst, leaving no one in the IG's office to perform the functions of that office. Once a new IG and support staff are in place, the Director of the Unit will reach out to the IG.

United States Attorney's Office

The Unit has benefited from a good working relationship with a number of federal agencies. Of particular note is the work that has been accomplished in collaboration with the United States Attorney's Office for the District of Kansas (USAO). The Unit has consistently been invited to actively participate in both criminal and civil matters involving the USAO. Furthermore, the USAO has permitted SAUSA's within the Unit to prosecute criminal proceedings in United States District Court.

Members of the Unit's staff are also active participants in the Kansas City Metro Healthcare Fraud Working Group (KCMHFWG), which is jointly sponsored by the Federal Bureau of Investigation (FBI) and the United States Attorneys' Office for the Districts of Kansas and the Western District of Missouri. Membership in each of these working groups has provided tremendous opportunities for networking, even across the Kansas-Missouri border.

Department of Health and Human Services, Office of Inspector General, Office of Investigations (HHS-OIG-OI)

The Unit has longstanding working relationships with a number of federal law enforcement agencies. Primary among those is the excellent partnership the Unit has with the regional office of the HHS-OIG-OI. HHS-OIG-OI, has demonstrated a willingness to assist the Unit in cases throughout the state, including the joint investigative effort, Operation No-Show, highlighted on page 18 of this report. Many of the Unit's cases now involve cooperative efforts of agents from the Unit and HHS-OIG-OI. In addition, the Unit has worked with the Federal Bureau of Investigation (FBI), Social Security Administration (SSA) and the Drug Enforcement Administration (DEA), among others, as we strive to maximize resources in the ongoing efforts to identify and prevent health care fraud in Kansas.

The Unit values the relationships that have been developed with our federal counterparts and will continue to work to further develop these, as well as new relationships, exploring additional areas where collaboration will be effective.

Other Governmental Entities

In an ongoing effort to educate others on the harmful effects of fraud, waste and abuse, the Unit has sought to partner with groups and agencies that foster public awareness.

The Unit has a position on the Kansas Adult Protective Services Advisory Committee, which was established by the Department for Children and Families (DCF), in response to complaints about the State's perceived failure to respond to reports of abuse against vulnerable adults. Membership on this committee has provided a tremendous opportunity to work directly with DCF and other state agencies, as well as concerned individuals throughout the State of Kansas.

The Unit also has two staff members who serve on the Attorney General's Senior Consumer Protection Advisory Council. This council has been tasked with creating awareness of dangers to the elderly and other vulnerable adults and discussing means of education and prevention.

The Unit serves as a member of the recently formed Department of Justice Elder Justice Task Force. The task force in Kansas, one of ten across the country, brings federal prosecutors and law enforcement agencies together in a partnership with this Unit and other state and local agencies to target nursing homes that provide grossly substandard care to residents.

The unit also attends Financial Abuse Specialist Team (FAST) meetings in Wichita and Kansas City, Kansas. The meetings include local law enforcement, prosecutors, mental health professionals, and adult protective service personnel.

Finally, the Unit works closely with several regulatory and licensing entities. These include, but are not limited to, the following:

- Kansas Board of Healing Arts
- Kansas Board of Nursing
- Kansas Department for Children and Families
- Kansas Department for Aging and Disability Services
- Kansas Insurance Department
- Kansas Board of Pharmacy
- Kansas Dental Board
- Office of the Kansas Long-Term Care Ombudsman

As additional opportunities to enter into relationships that will further the objectives of the Unit present themselves, efforts will be made to collaborate with those entities.

Issues and Recommendations

Transportation Services

Each of the three Managed Care Organizations (MCOs) maintains its own separate contracts with businesses to manage transportation services. Those businesses in turn have subcontracts with companies who provide the transportation for beneficiaries. Our observation thus far is that documentation requirements for transportation providers do not appear to be clear or consistent across the MCOs and their subcontractors and are not as comprehensive as those required for fee-for-service transportation providers. This can make it difficult to determine if the transportation service provided was covered service or if the service was provided at all. It is our recommendation that the State Medicaid Agency work with the MCOs to strengthen the documentation requirements.

Authenticare

In 2015, the Unit ran into an issue with FirstData, the company contracted to utilize the Authenticare system which is used to report and monitor service reportedly provided by personal care attendants to Medicaid beneficiaries in various waiver programs. The issue that arose was after three years FirstData had started to archive entries, moving them to a separate database that was inaccessible to the Unit. This created a problem where reports that previously had been run now had entries that would be missing if the report were rerun today, which would create different work products and had the potential to cause havoc at trial. FirstData complied with our request and now includes all five of the past years' worth of entries. This conforms to the Unit's statute of limitation of five years. FirstData continues to be attentive to the Unit's requests.

Performance and Projections

For the period July 1, 2015, to June 30, 2016, the Unit obtained judgments in Medicaid fraud

matters exceeding \$9.8 million. During this past year Unit staff made 8 presentations to more than 300 attendees, covering topics ranging from mistreatment of a dependent adult to prosecuting crimes against the elderly.

42 C.F.R § 1007.17 INFORMATION

- (a) The number of investigations initiated and the number completed or closed, categorized by type of provider:

	Initiated Cases	Closed Cases
FRAUD		
1. Assisted Living Facility		
2. Developmental Disability Facility, Res.		
3. Hospice	1	
4. Hospital	1	
5. Hospital: Inpatient Psych Srvcs, Indv. under 21		
6. Nursing Facility	1	2
7. Other Inpatient Mental Health Facility	1	
8. Other Long Term Care Facility		
9. Adult Day Center		
10. Ambulatory Surgical Center		

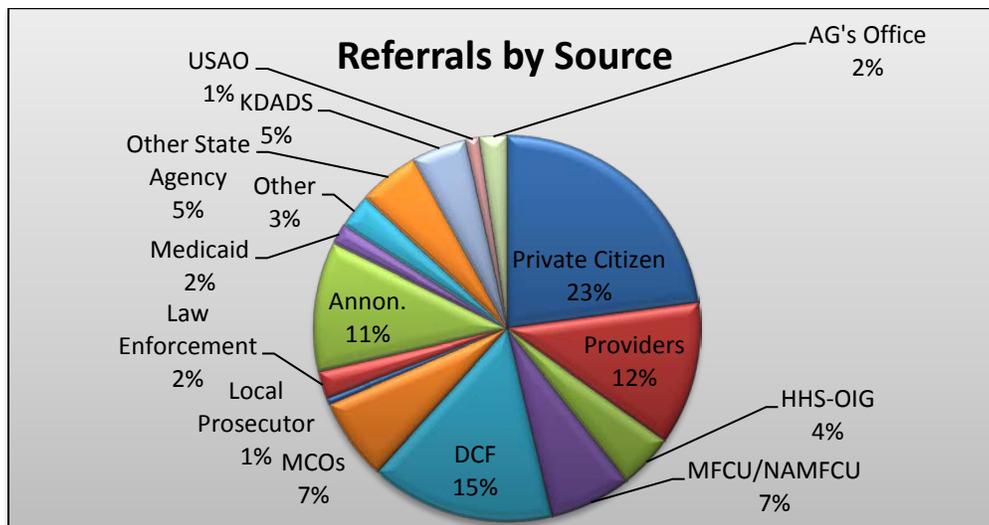
11. Developmental Disability Facility		
12. Developmental Disability Facility, Non-Res.		
13. Dialysis Center		
14. Mental Health Facility, Non-Res.		
15. Substance Abuse Treatment Center		
16. Other Facility, Non-Res.		
17. Allergist/Immunologist		1
18. Cardiologist		
19. Emergency Medicine		
20. Family Practice	3	1
21. Geriatrician		
22. Internal Medicine		
23. Neurologist		
24. Obstetrician/Gynecologist		
25. Ophthalmologist		
26. Pediatrician	2	
27. Physical Medicine and Rehabilitation	1	
28. Psychiatrist		
29. Radiologist		
30. Surgeon		
31. Urologist	1	1
32. Other MD/DO		1
33. Audiologist		
34. Chiropractor		
35. Clinical Social Worker		
36. Dental Hygienist		
37. Dentist		
38. Nurse: LPN, RN or Other Licensed		
39. Nurse Practitioner		
40. Optometrist	1	
41. Pharmacist		
42. Physician Assistant		
43. Podiatrist		
44. Psychologist		

45. Therapist, Non-Mental Health (PT, ST, OT, RT)	1	
46. Other Practitioner	2	
47. EMTs or Paramedics		
48. Nurse's Aide, CNA or other		
49. Optician		
50. Personal Care Services Attendant	28	33
51. Pharmacy Technician		
52. Unlicensed Counselor, Mental Health		
53. Unlicensed Therapist, Non-Mental Health		
54. Ambulance		
55. Billing Services	1	
56. Durable Medical Equipment		
57. Home Health Agency	1	5
58. Lab – Clinical		
59. Lab – Radiology and Physiology		
60. Lab – Other		
61. Medical Device Manufacturer	2	
62. Pain Management Clinic	1	
63. Personal Care Services Agency	3	
64. Pharmaceutical Manufacturer	7	16
65. Pharmacy – Hospital		
66. Pharmacy – Institutional/Wholesale		1
67. Pharmacy – Retail	3	2
68. Transportation		
69. Other Medical Services		
70. Managed Care Organization		2
71. Medicaid Program Administration		
72. Other Medicaid Program Related Individ/Org		
ABUSE & NEGLECT		
73. Assisted Living Facility	1	
74. Develop Disability Facility Setting – Resident		
75. Hospice		
76. Non-Direct Care		2
77. Nurse's Aide, CNA or Other	1	1

78. Nursing Facility	1	
79. Personal Care Aide or Other Home Care Aide	1	
80. Registered/Licensed Nurse/PA/NP		2
81. Other Individual or Organization	5	2
TOTAL	70	72

(b) Current Case Activity:

Open Cases as of 06/30/2015	126
Cases Initiated During Period	70
Less: Cases Closed/Completed	(72)
Open Cases as of 06/30/2016	124



Number of cases prosecuted/litigated or referred for prosecution:

8 Criminal cases were filed/prosecuted by the Unit

0 Civil cases were filed by or on behalf of the Unit

0 Cases referred to other agencies for prosecution

Number of cases finally resolved and their outcomes:

12 Criminal cases resulted in conviction

0 Convictions resulted in incarceration of defendant

12 Convictions resulted in probation

1 Criminal case resulted in acquittal by a judge or jury

1 Criminal case was dismissed by Unit

1 Civil case was settled by Settlement Agreement

0 Civil cases resulted in judgment after litigation

15 Global cases were settled

38 Cases were investigated and closed without prosecution/litigation

(c) Number of complaints/referrals received regarding abuse and neglect of patients in health care facilities:

8 referrals from the Kansas Department for Aging and Disability Services (KDADS)

1 referral received from Kansas Attorney General's Abuse, Neglect and Exploitation Unit.

6 referrals of abuse, neglect or exploitation from other agencies.

14 referrals of abuse, neglect or exploitation from individuals/private entities.

Number of abuse, neglect and exploitation complaints investigated by the Unit:

9 complaints investigated.

Number of such complaints referred by the Unit to other state agencies:

7 abuse, neglect and exploitation complaints referred.

(d) Recovery Actions

Number of recovery actions initiated by the Unit:

The Unit does not engage in recovery actions, instead referring those matters to the Single State Agency to be handled under their administrative hearing process.

Number of recovery actions referred to another agency:

53 cases referred to other agencies for recovery actions.

Total amount of overpayments identified by the Unit:

For this reporting period the Unit identified and referred to the Single State Agency, and subsequently the MCOs, matters of apparent overpayments that do not rise to the level of criminal or civil action against the provider. Thus, the determination of the amount of overpayment in those instances was left up to the fiscal agent and the MCOs.

(e) Number of recovery actions initiated by the Single State Agency under its agreement with the Unit:

At this time, the Unit has no way of independently tracking the number of actions initiated by the Single State Agency or the MCOs.

Total amount of judgments/recoveries obtained by the Unit for the reporting period:

Global Cases:

\$9,439,138.75 (This number includes both the federal and state shares of global case settlements pursued in conjunction with the National Association of Medicaid Fraud Control Units, but does not include any penalties, attorney's fees or costs recovered in those settlements.)

Criminal Cases:

\$138,930.37 was ordered as restitution in criminal fraud cases completed by the Unit in which a conviction was obtained. This amount will be collected by the Single State Agency.

Civil Case:

\$300,000.00 was recovered as a result of civil judgments obtained by the Unit. The proceeds of these settlements were paid to the Single State Agency.

Total amount of overpayments actually collected by the Single State Agency under its agreement with the Unit:

The Unit has been unable to obtain figures for the amount of overpayments actually collected by the Single State Agency, other than those recovered through the recoupment process, as set forth below. The Unit does maintain a spreadsheet of all payments processed by the Unit towards satisfaction of outstanding criminal and civil judgments. The Unit collected payments totaling \$40,821.28, to be applied towards restitution ordered.

According to information provided to the Unit by the Single State Agency, for the period of this report \$12,234,715.72 was identified as overpayments for recoupment by the fiscal agent and the three managed care entities. During this same period of time the Single State Agency reported recovering \$5,479,426.68 through the recoupment processes of the fiscal agents and the three managed care entities.

(f) Projections for next 12 months

135 Projected fraud referrals

20 Projected abuse referrals

90 Investigations projected to be opened

15 Criminal cases projected to be filed

1 Cases projected to be finalized to civil judgment

15 Total cases to be closed

(g) Costs incurred by the Unit

Total federal and state direct costs during this reporting period:
\$1,256,837.45

Total federal and state indirect costs during this reporting period:
\$24,647.29

Total Costs incurred by the Unit:
\$1,281,484.74

Public Awareness

In addition to receiving training for staff, as set forth above, the Unit recognizes the important role of providing training and education to others. It is an important function of the Unit to educate others about the purpose and objectives of the Unit. Through our presentations the Unit has been able to reach out to the public and those working in the industry. While this serves to educate those in attendance, it has the added benefit of resulting in an increased number of referrals to the Unit of potential cases of fraud and abuse.

A chart setting forth the presentations made by Unit staff during the reporting period is set forth in Appendix D.

Southeast Kansas Independent Living, Inc. (SKIL)

Targeted case managers employed with SKIL signed up to be personal care attendants for various consumers. Because of the inherent conflict of interest – case managers serving as care attendants when it was the case managers who evaluated and assigned the number of care attendant hours - SKIL management informed the case managers they could no longer also serve as care attendants. The case managers then recruited friends and family to be care attendants, unbeknownst to the numerous consumers involved. The case managers submitted timesheets for payment, though the documented services were not provided, and then split the proceeds among participants. In addition, the case managers also falsified bids and other documentation relating to durable medical equipment orders and home modification projects, e.g., wheelchair ramps, and collected payment from Medicaid. Following a thorough investigation, 14 defendants were charged and convicted, and more than \$153,000.00 in restitution has been ordered to date.

Operation No-Show

This joint initiative between our office and HHS/OIG/OI, which began in 2014, focused on fraud in personal care attendant services. As a result of this successful effort, 11 defendants have been convicted, and more than \$350,000.00 in restitution has been ordered to the Kansas Medicaid Program.

Appendix A: Notice of FFY2016 Award

<p>Grant/Document: 1601KS5050</p> <p>Subaccount: MFCU16</p> <p>Period of Performance: 10/1/2015 through 9/30/2016</p> <p>CFDA: 93.775</p> <p>Program Title: State Medicare Fraud Control Unit</p>	 <p>U.S. DEPARTMENT OF HEALTH & HUMAN SERVICES OFFICE OF INSPECTOR GENERAL</p> <p>Notice of Grant Award Award Authority: Section 1902(a)(61), 1903(a)(6), 1903(b)(3) and 1903(q) of the Social Security Act</p>
<p>Financial Information</p> <p>CAN: D994968</p> <p>Appropriation: 75X0512</p> <p>Object Class: 41501</p> <p>EIN: 48602992515</p> <p>DUNS: 149819976</p> <p>Program Income: Deduction</p>	<p>Grantee Information</p> <p>Kansas Attorney General Medicaid Fraud and Abuse Division 120 SW 10th Avenue 2nd Floor, Topeka, KS, 66612</p> <p>Director: Loren Snell</p>

Award Information

<p>Total Approved Budget.... \$1,457,808</p> <p>75% Federal Share \$1,093,356</p> <p>25% Non-Federal Share.... \$364,452</p> <p>Indirect rate... 7.77%</p> <p>Federal Award Description Medicaid Fraud Control Units (MFCU) investigate and prosecute Medicaid fraud as well as patient abuse and neglect in health care facilities.</p>	<p>Federal funding will be obligated in quarterly amounts on the following schedule:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1st Quarter, on October 1, 2015</td> <td style="text-align: right;">\$273,339</td> </tr> <tr> <td>2nd Quarter, on January 1, 2016</td> <td style="text-align: right;">\$273,339</td> </tr> <tr> <td>3rd Quarter, on April 1, 2016</td> <td style="text-align: right;">\$273,339</td> </tr> <tr> <td>4th Quarter, on July 1, 2016</td> <td style="text-align: right;">\$273,339</td> </tr> </table> <p>Matching requirement at end of grant period is 25% of Total Net Expenditures.</p>	1st Quarter, on October 1, 2015	\$273,339	2nd Quarter, on January 1, 2016	\$273,339	3rd Quarter, on April 1, 2016	\$273,339	4th Quarter, on July 1, 2016	\$273,339
1st Quarter, on October 1, 2015	\$273,339								
2nd Quarter, on January 1, 2016	\$273,339								
3rd Quarter, on April 1, 2016	\$273,339								
4th Quarter, on July 1, 2016	\$273,339								

Is this award R&D? No

Remarks:
General Terms and Conditions for this award are hereby included by reference and can be found [here](#).

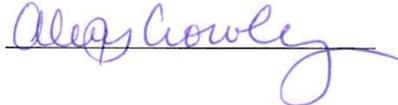
Subaccount
A Public Assistance (P) Account in the Division of Payment Management's (DPM) Payment Management System (PMS) has been created to provide separate accounting of federal funds per each document number. The subaccount code for this grant award is MFCU16.

Financial Reporting
The SF-425 due dates for the grant period of this award are as follows:

- The **first quarter report** covers the period beginning 10/1/2015 and ending 12/31/2015 and is due by 1/30/2016.
- The **second quarter report** covers the period beginning 10/1/2015 and ending 3/31/2016 and is due by 4/30/2016.
- The **third quarter report** covers the period beginning 10/1/2015 and ending 6/30/2016 and is due by 7/30/2016.
- The **fourth quarter report** covers the period beginning 10/1/2015 and ending 9/30/2016 and is due by 10/30/2016.
- The **final report** is due by 12/29/2016.

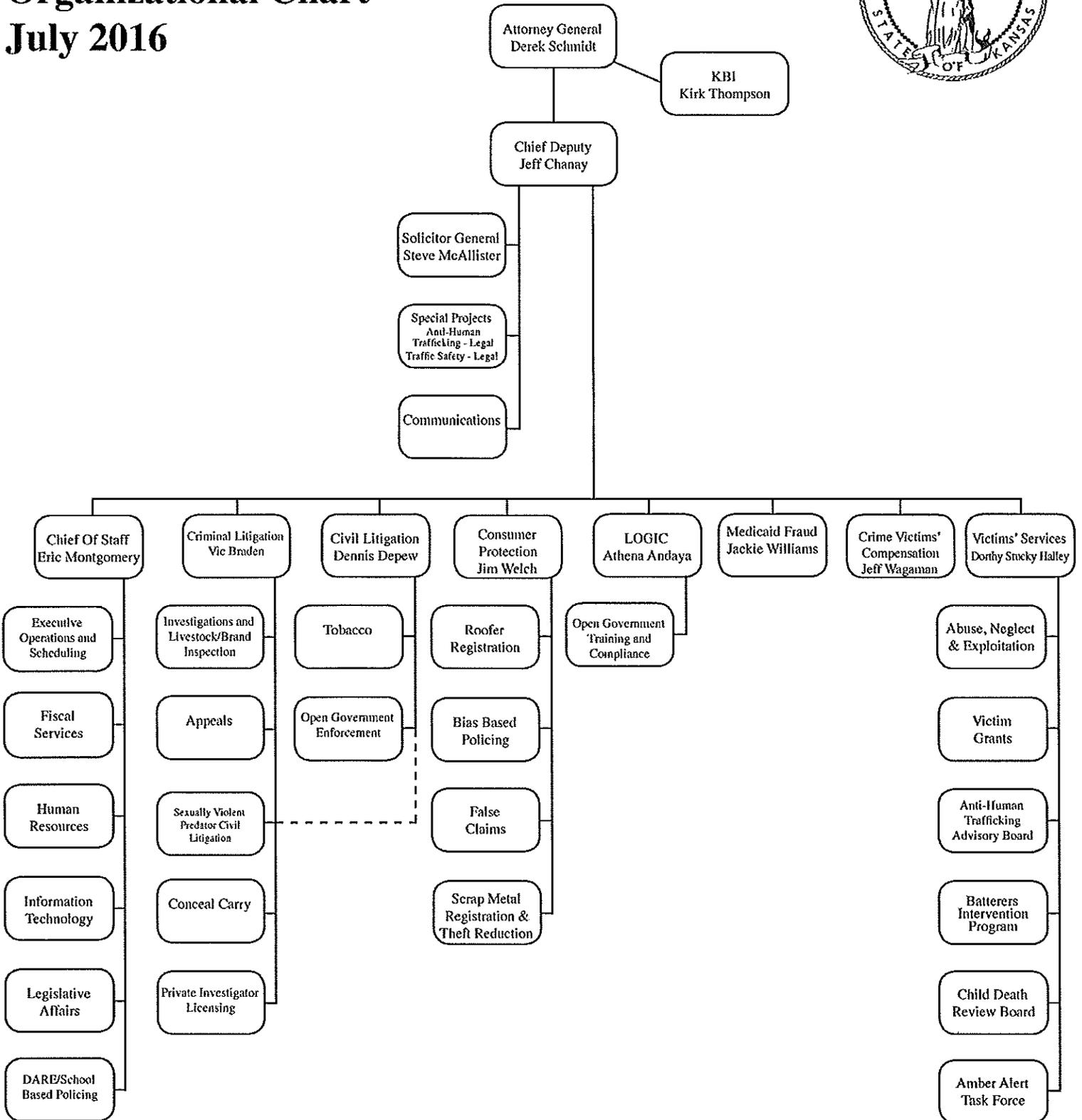
Authorizing Officials and Contacts

Laura Gent, Budget Officer  Date **OCT - 1 2015**

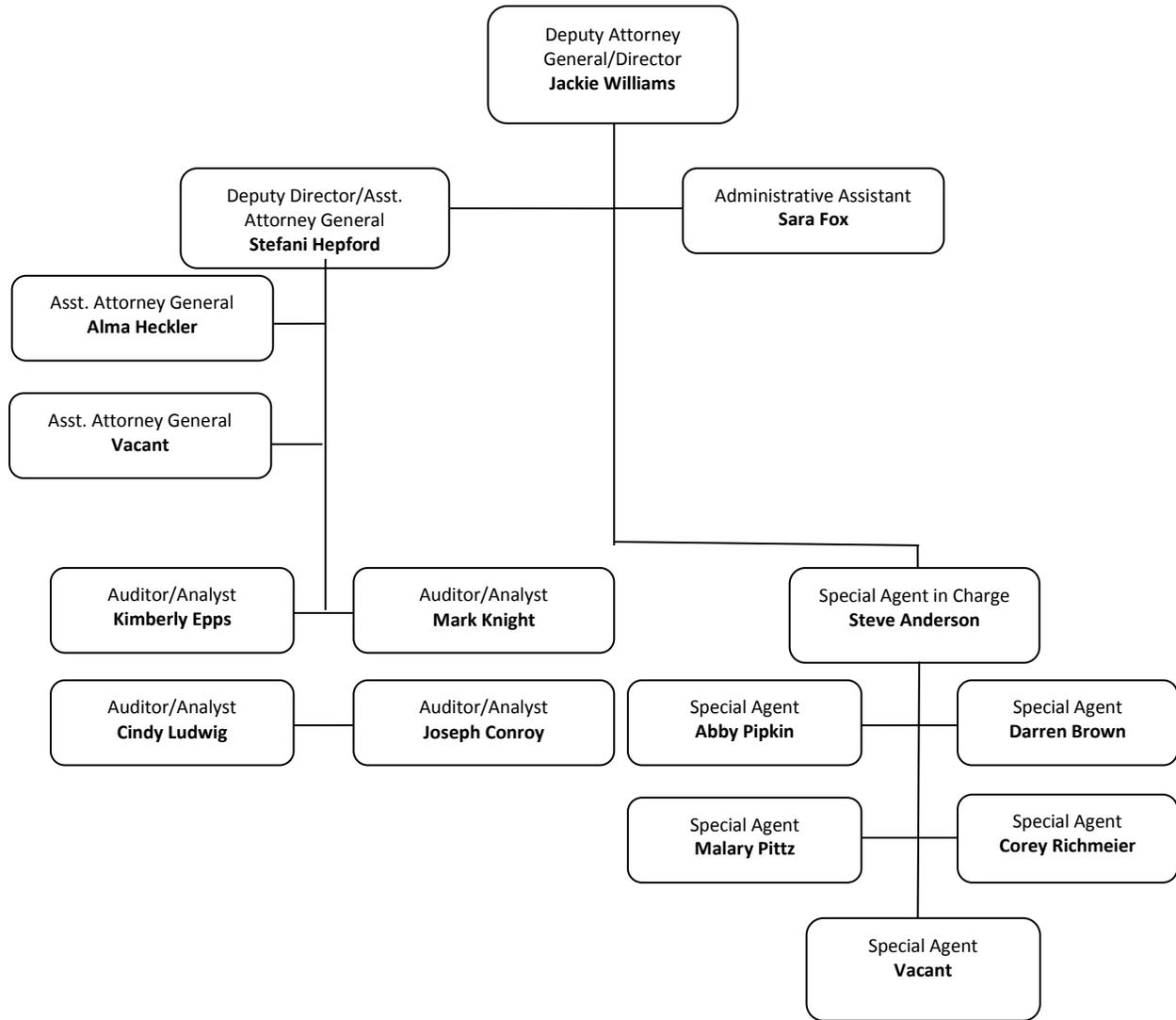
Alexis Crowley, Grants Management Officer  Date **OCT - 1 2015**
Alexis.Crowley@oig.hhs.gov, 202-708-9710

Susan Burbach, Program Analyst
Susan.Burbach@oig.hhs.gov, 202-708-9789

Kansas Attorney General Derek Schmidt Organizational Chart July 2016



Kansas Attorney General Derek Schmidt Medicaid Fraud & Abuse Division Organizational Chart



Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
Steve Anderson				
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	0
	01/13/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	1	
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	3.5	
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	.5	
	05/10/16	Training: OAG Training KORA,KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	06/22/16	Training: Racial and Bias-Based Policing Unit Sponsor: Kansas Attorney General	2	
	06/24/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	6	
Darren Brown				
	07/02/15	Training: Racial and Bias-Based Policing Unit Sponsor: Kansas Attorney General	1.75	
	07/10/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	2	0
	07/24/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	3	
	08/18/15	Training: OAG Training KORA,KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	11/13/15	Training: Writing Affidavits for Search and Seizure Warrants Sponsor: United States Attorney's Office	4	
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	3.5	
	04/06/16	Training: Virtual Network for Cellebrite Sponsor:	3	

Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
	04/15/16	Training: Use of Force Legal Review Sponsor:	4	
	04/26/16	Training: KJCIS/NCIC Update Sponsor:	0.5	
	04/28/16	Training: Insurance Fraud Task Force Training Seminar Sponsor:	6	
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	05/17/16	Training: Capitol Area Major Case Squad Sponsor:	15	
	06/24/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	6	
Joseph Conroy	09/18/15	Training: Hewelett Packard Encounter Claim Training Sponsor: Hewelett Packard	4	0
	01/01/16	Training: Fred Pryor Online Training 2016 Sponsor: Kansas Attorney General	1.29	0
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	0
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	4	0
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	0
Kim Epps	09/18/15	Training: MFCU 101 Sponsor: NAMFCU	24	0
	01/01/16	Training: Fred Pryor Online Training 2016 Sponsor: Kansas Attorney General	1.29	0
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	0
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	4	0

Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	0
Sara Fox	08/18/15	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	4	
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	06/01/16	Training: Fred Pryor Online Training Sponsor: Kansas Attorney General	1.29	
	06/28/16	Training: US Attorney's Lunch and Learn Sponsor: United States Attorney's Office	1.5	
Alma Heckler	10/02/15	Training: OAG Fall CLE Sponsor: Kansas Attorney General	6	2
	02/25/16	Training: 2-Hour Ethics Update Sponsor: United States Attorney, District of Kansas	2	2
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
Stefani Hepford	10/02/15	Training: OAG Fall CLE Sponsor: Kansas Attorney General		
	02/25/16	Training: 2-Hour Ethics Update Sponsor: United States Attorney, District of Kansas	2	2
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
Mark Knight				

Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
	08/18/15	Training: Mandatory Staff Training: KORA/KOMA and Records Retention Sponsor: Kansas Attorney General	1.5	0
	08/20/15	Training: Desktop Intelligence (Business Objects/DSS) Training Sponsor: HP Services	.5	0
	10/02/15	Training: KSAG CLE Program Sponsor: Kansas Attorney General	6	2
	11/10/15	Training: MFCU Encounter Claim Training Sponsor: HP Services	2	0
	01/12/16	Training: Governmental Ethics Training Sponsor: Kansas Attorney General	1	0
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	4	0
	04/15/16	Training: KSAG CLE Program Sponsor: Kansas Attorney General	6	1
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
Cindy Ludwig	09/18/15	Training: Kansas Department of Health and Environment Fall Medicaid Training Sponsor: Kansas Department of Health and Environment	4.5	0
	01/01/16	Training: Fred Pryor Online Training 2016 Sponsor: Kansas Attorney General	1.29	0
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	0
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	4	0
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	0
Mark Montague				

Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
	07/02/15	Training: Racial and Bias-Based Policing Unit Sponsor: Kansas Attorney General	1.75	
	07/10/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	5	0
	07/24/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	3	
	08/18/15	Training: OAG Training KORA,KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	11/13/15	Training: Writing Affidavits for Search and Seizure Warrants Sponsor: United States Attorney's Office	4	
	01/08/16	Training: CLEAR Investigative Tool for Law Enforcement Sponsor:	1	
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	
	02/05/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	2	
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	3.5	
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA,KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	05/17/16	Training: Capitol Area Major Case Squad Sponsor:	22.5	
	06/17/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	5	
Abby Pipkin	07/02/15	Training: Racial and Bias-Based Policing Unit Sponsor: Kansas Attorney General	1.75	
	07/10/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	2	

Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
	08/18/15	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	11/15/15	Training: Writing Affidavits for Search and Seizure Warrants Sponsor: United States Attorney's Office	4	
	11/17/15	Training: MFCU 101 Sponsor: NAMFCU	21	
	01/08/16	Training: CLEAR Investigative Tool for Law Enforcement Sponsor:	1	
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	
	02/05/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	2	
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	3.5	
	03/22/16	Training: Full Access Training Sponsor: NCIC	7	
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	06/17/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	6	
Malary Pittz	07/02/15	Training: Racial and Bias-Based Policing Unit Sponsor: Kansas Attorney General	1.75	
	07/10/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	2	
	08/17/15	Training: John E. Reid Basic and Advanced Interview/Interrogation School Sponsor: John E. Reid	24	
	09/15/15	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	11/13/15	Training: Writing Affidavits for Search and Seizure Warrants Sponsor: United States Attorney's Office	4	

Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
	01/08/16	Training: CLEAR Investigative Tool for Law Enforcement Sponsor:	1	
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	
	02/05/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	2	
	02/23/16	Training: MFCU 101 Sponsor: NAMFCU	21	
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	3.5	
	04/06/16	Training: Virtual Network for Cellebrite Sponsor:	3	
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	06/17/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	6	
Corey Richmeier				
	07/10/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	5	0
	07/24/15	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	3	
	08/18/15	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	11/03/15	Training: Investigation Techniques Involving Social Networking Sites Sponsor:	20	
	11/13/15	Training: Writing Affidavits for Search and Seizure Warrants Sponsor: United States Attorney's Office	4	
	01/12/16	Training: OAG Training Governmental Ethics Sponsor: Kansas Attorney General	1	

Appendix C: Training Report

ATTENDEE	DATE	TRAINING AND SPONSOR	TOTAL HOURS	ETHICS HOURS
	02/05/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	2	
	03/15/16	Training: OAG Training Emergency Procedures Sponsor: Kansas Attorney General	3.5	
	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0
	05/10/16	Training: OAG Training KORA, KOMA, Records Retention Sponsor: Kansas Attorney General	1.5	
	06/17/16	Training: Firearm Qualifications and Training Sponsor: Kansas Attorney General	6	
Jackie Williams	04/26/16	Training: KCJIS/NCIC Update Sponsor: Kansas Attorney General	0.5	0

Appendix D: Presentation Report

PRESENTER	DATE	EVENT AND PRESENTATION TITLE	NO. PEOPLE ATTENDING
Alma Heckler	06/17/16	Event: Adult Awareness Month Event Title: Mistreatment of a Dependent Adult or Elder Person	25
Stefani Hepford	10/08/15	Event: KBA Elder Law Conference Title: Prosecuting Crimes Against Elders	65
	10/09/15	Event: Kansas Paralegal Association Title: Medicaid Fraud	6
	10/23/15	Event: Wichita Bar Association Conference Title: Prosecuting Crimes Against the Elderly	48
	06/08/16	Event: KC Fraud Symposium Title: KC Fraud Symposium	63
Abby Pipkin	04/26/16	Event: KCJIS/NCIC Update Title: KJCIS/NCIC Update	60
	04/28/16	Event: KCJIS/NCIC Update Title: KJCIS/NCIC Update	60
Loren F. Snell Jr.	10/22/15	Event: Major Case Squad Meeting Title: Medicaid Fraud and Elder Abuse	12